

# **Study of spin sum rules (and the strong coupling constant at large distances)**

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# Moments of structure functions, sum rules and QCD coupling

Moments of structure functions are objects as inclusive as one could imagine:

- Sum on all the processes.
  - Sum on transferred energy  $\nu$  (or  $x$ , or  $W$ ).
- } What does this talk have to do with  $N^*$  ? ☹️

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⇒ simplifications + global constrain on exclusive physics.

Ex. Gerasimov-Drell Hearn sum rules:

$$\int_{\nu_{\text{thr}}}^{\infty} (\sigma_A - \sigma_P) \frac{d\nu}{\nu} \propto (\text{target anomalous magnetic moment})^2$$

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photoproduction cross sections

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photoproduction cross sections

Sum rules are dominated by resonances photo-productions (or electro-productions at low and average  $Q^2$ .)

Moments of spin structure functions: used to define an effective QCD coupling at low  $Q^2$ .

} Okay, I do see the interest for  $N^*$  😊

# Moments of spin structure functions and spin sum rules

$$N^{\text{th}}\text{-moments: } \begin{cases} \int g_1 x^{n-1} dx \\ \int g_2 x^{n-1} dx \end{cases}$$

First moments:  $\Gamma_1, \Gamma_2$

- ★  $\Gamma_1^N$ :  $\begin{cases} \text{Ellis-Jaffe sum rule (large } Q^2) \\ \text{Gerasimov-Drell-Hearn (GDH) sum rule (} Q^2=0) \end{cases}$  ←
- ★  $\Gamma_1^{p-n}$ : Bjorken sum rule (large  $Q^2$ ) ←
- ★  $\Gamma_2^N$ : Burkhardt–Cottingham (BC) sum rule (any  $Q^2$ )
- ★ ....

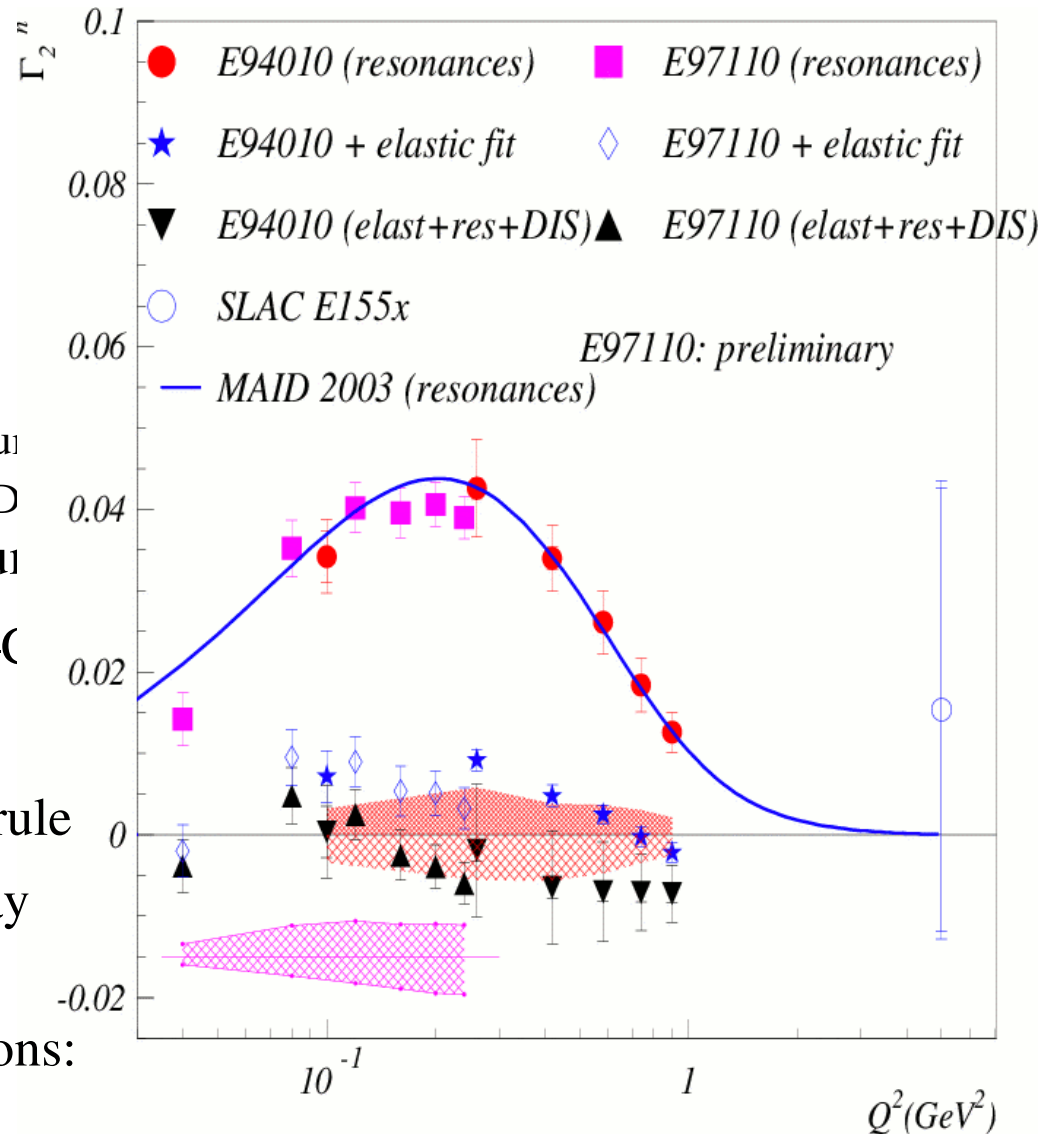
- ★  $d_2$  "sum rule"
  - ★ Spin polarizability sum rules  $\gamma_0 \delta_{LT}$
- } No low-x extrapolation

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- ★  $\Gamma_1^N$ :  $\begin{cases} \text{Ellis-Jaffe sum} \\ \text{Gerasimov-D} \end{cases}$
- ★  $\Gamma_1^{p-n}$ : Bjorken sum
- ★  $\Gamma_2^N$ : Burkhardt-C

$\int_0^1 g_2 dx = 0 \Rightarrow$  If BC Sum rule is valid, then interplay between elastic and resonance contributions:



# The generalized Bjorken Sum Rules

Bjorken sum rule (large  $Q^2$ ):

$$\int g_1^p - g_1^n dx = \Gamma_1^{p-n} = \frac{1}{6} g_A \left(1 - \frac{\alpha_s}{\pi} - 3.58 \left(\frac{\alpha_s}{\pi}\right)^2 - \dots\right) + \frac{M^2}{9Q^2} [a_2(\alpha_s) + 4d_2(\alpha_s) + 4f_2(\alpha_s)] + \dots$$

Nucleon triplet  
axial charge  
(Bjorken limit)

pQCD radiative  
corrections

Higher  
Twists  
(+rad. corr.)

Fundamental test of the pQCD  $Q^2$ -evolution and OPE in the spin sector

Individual nucleon:

$$\int g_1^N dx = \left(\pm 12g_A + \frac{a_8}{36}\right) \left(1 - \frac{\alpha_s}{\pi} - 3.58 \left(\frac{\alpha_s}{\pi}\right)^2 - \dots\right) + \frac{a_0}{9} \left(1 - \frac{\alpha_s}{\pi} - 1.10 \left(\frac{\alpha_s}{\pi}\right)^2 - \dots\right) + \text{Higher Twists}$$

Octet axial charge

singlet axial charge

(Assuming SU(3) symmetry and no strange quark polarization leads to the (violated) Ellis-Jaffe sum rule)

Here:  $\overline{MS}$  (no gluon contribution to  $\Gamma_1$ ) and  $a_0$  is  $Q^2$ -independent

# The generalized Gerasimov-Drell-Hearn sum

Original GDH sum rule ( $Q^2 = 0$ ):

$$\int_{\nu_{\text{thr}}}^{\infty} (\sigma_A - \sigma_P) \frac{d\nu}{\nu} = \frac{-4\alpha\pi^2 S \kappa^2}{M^2}$$

$\sigma_A, \sigma_P$  : photoproduction cross sections

$\kappa$ : anomalous magnetic moment

S: Spin

Generalized GDH sum:  $Q^2 > 0$ :

photoproduction  $\rightarrow$  electroproduction  $\quad \sigma_A - \sigma_P = f(g_1, g_2)$

One possible  
generalization:

$$\frac{8}{Q^2} \int g_1 dx = S_1(0, Q^2)$$

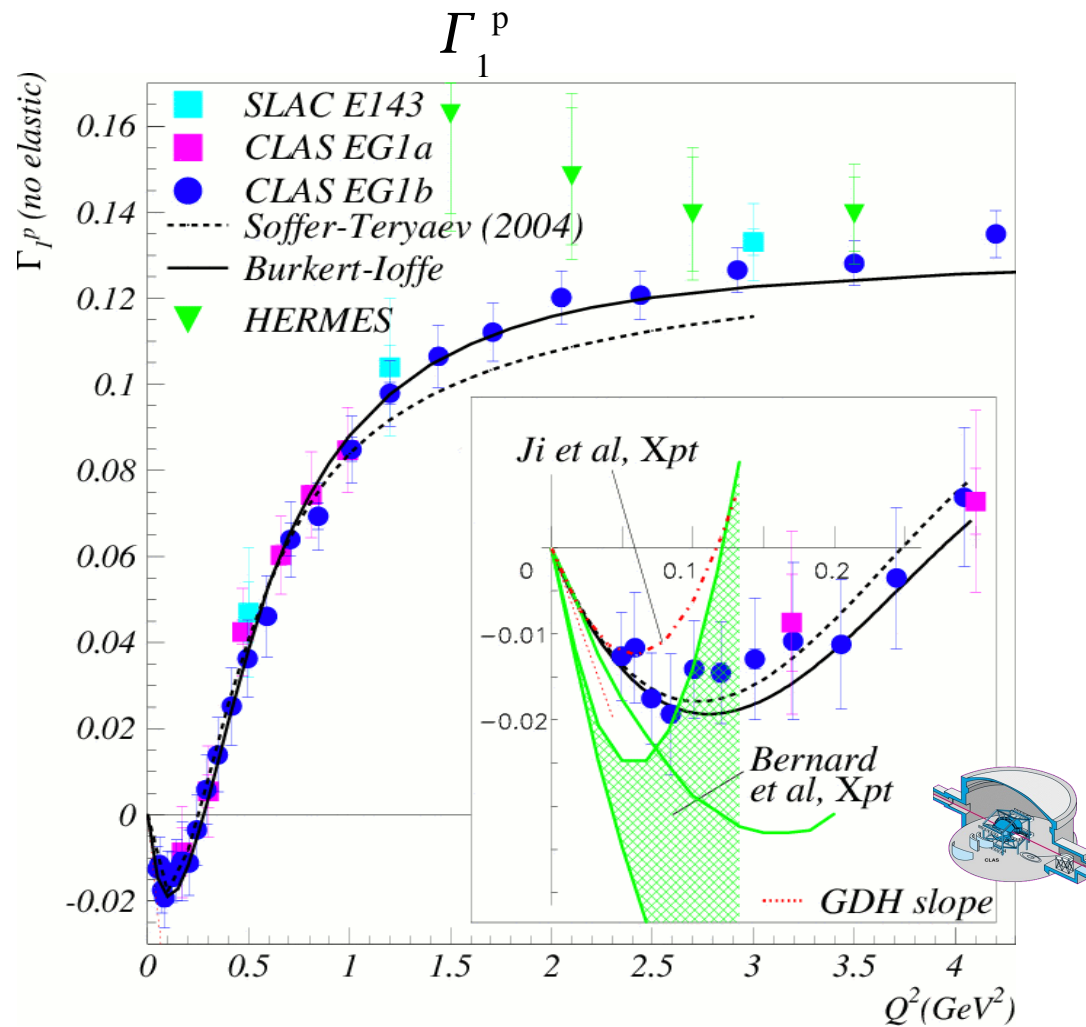
(Ji and Osborne, 1999)

$S_1(\nu, Q^2)$  : spin dependent Compton amplitude

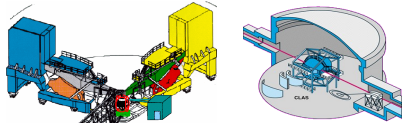
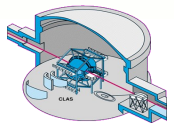
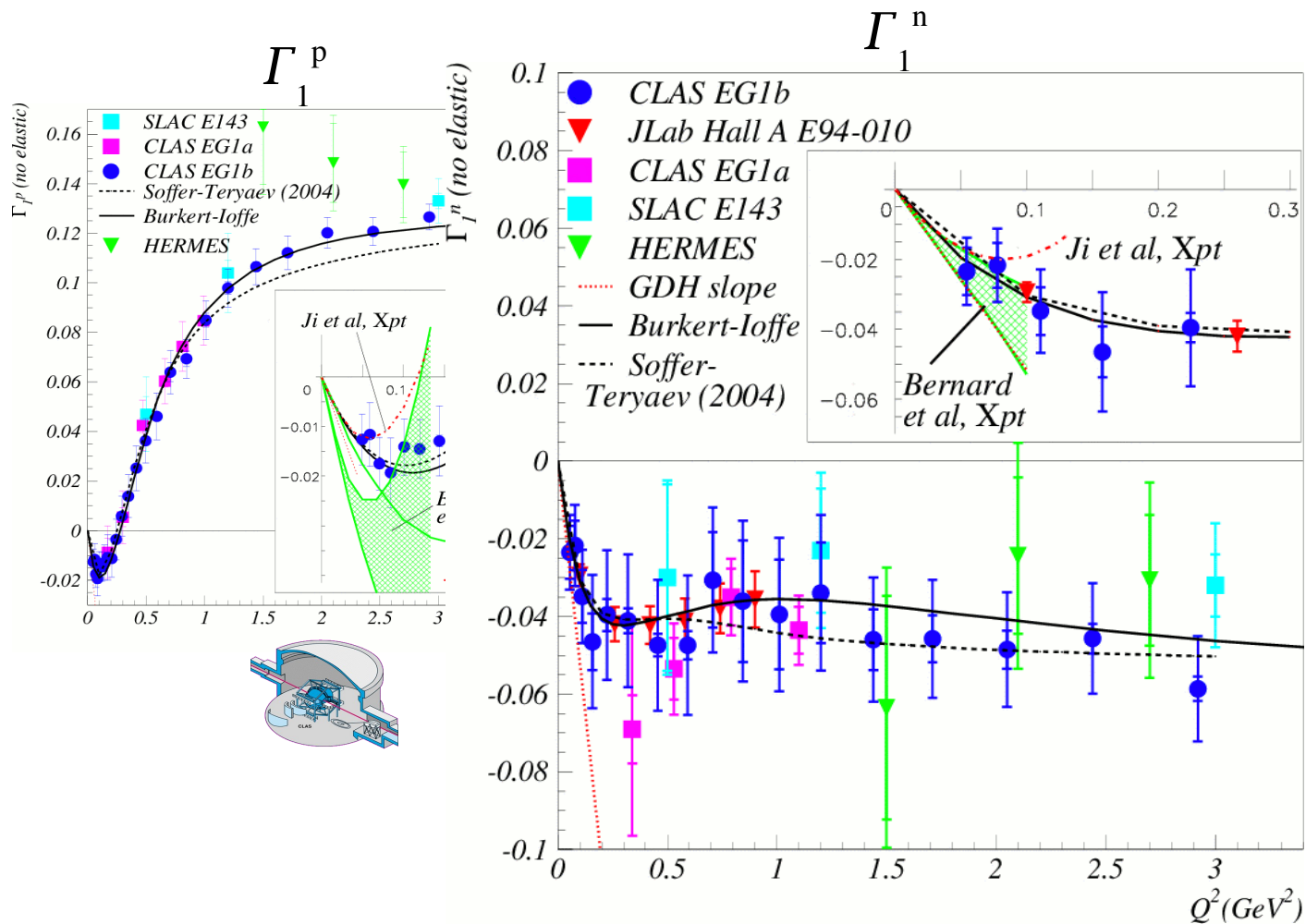
Connection allows to study the pQCD sum rules  
at any  $Q^2$ .



# Results on sum rules



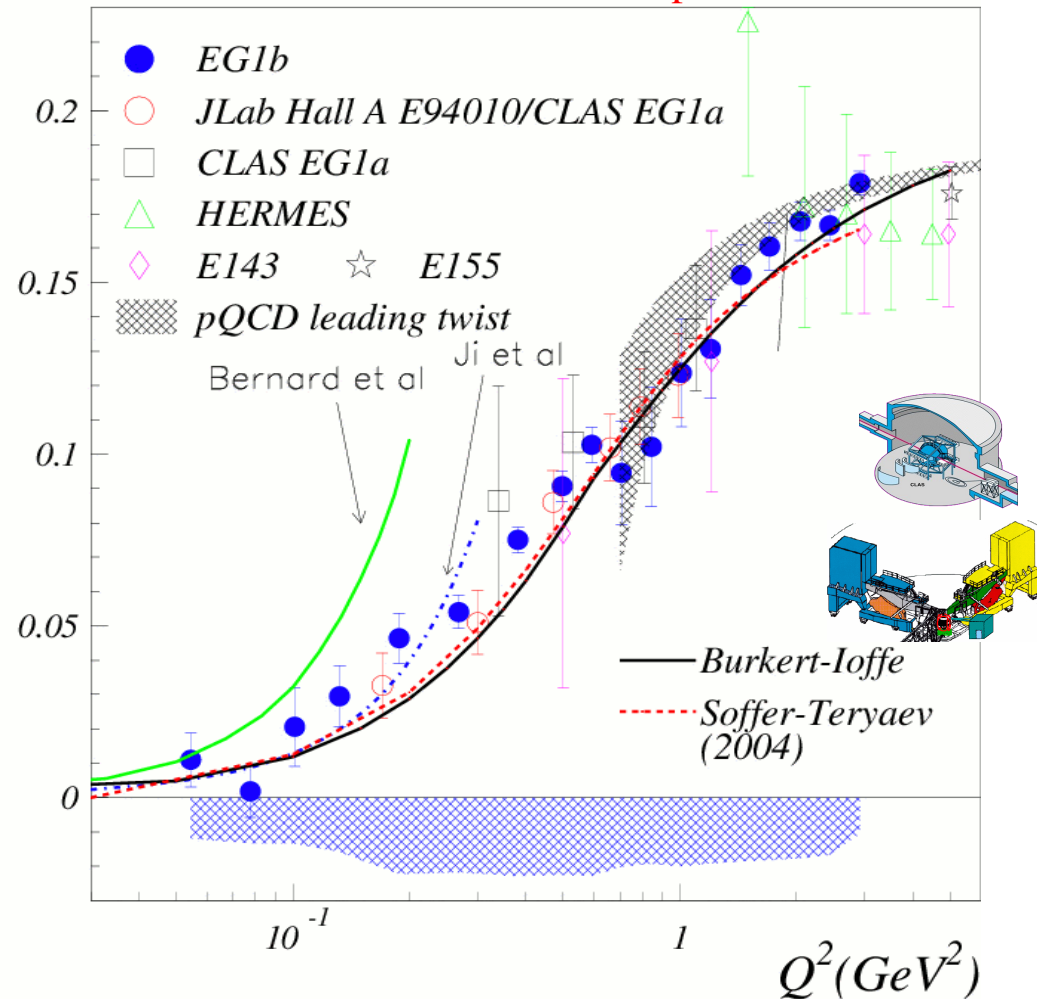
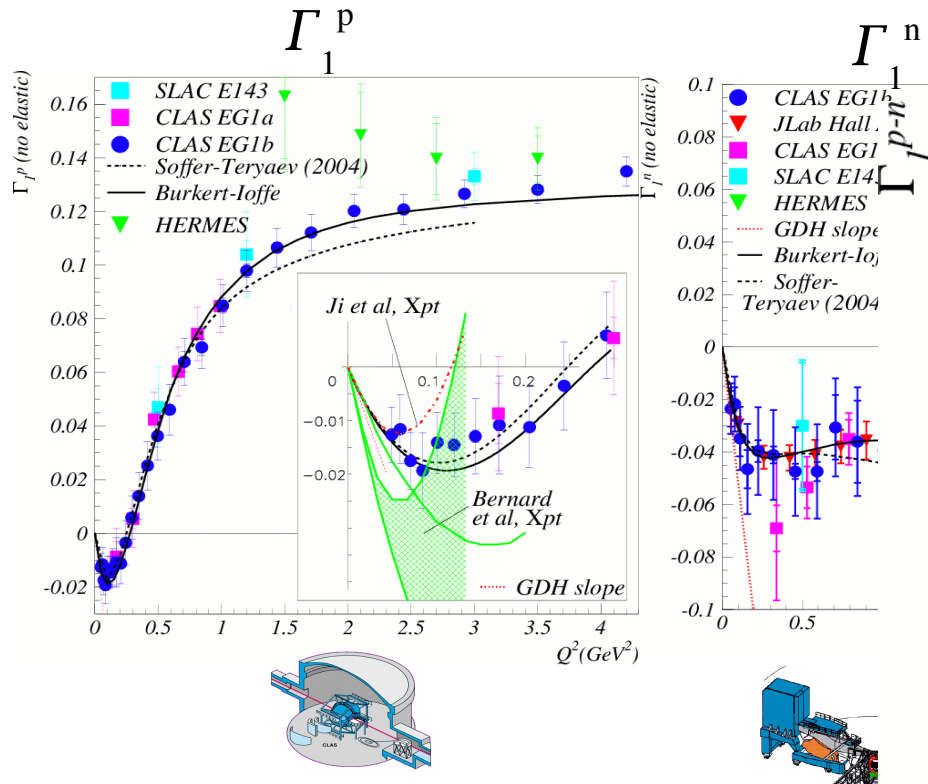
# Results on sum rules



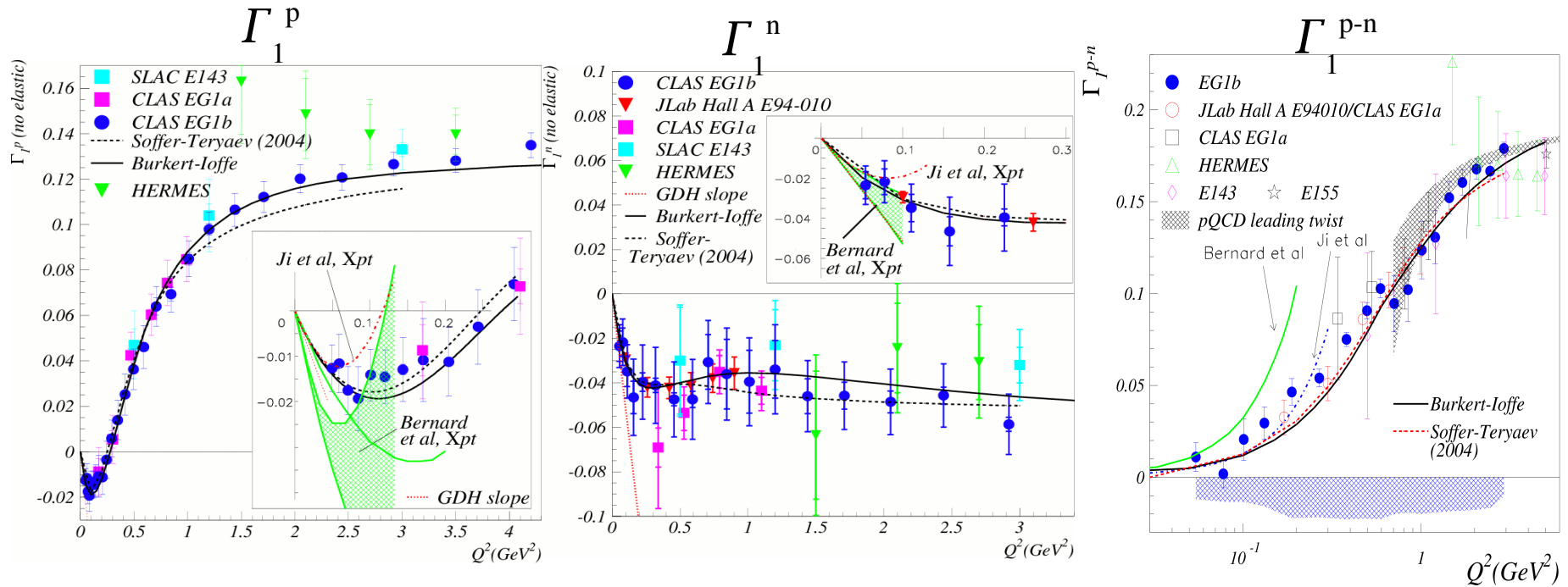
# Results on sum rules

Bjorken sum:  $\int g_1^p - g_1^n dx$   
 $\Delta$  contribution suppressed

$\Rightarrow$  Easier check of  $\chi pT$ .



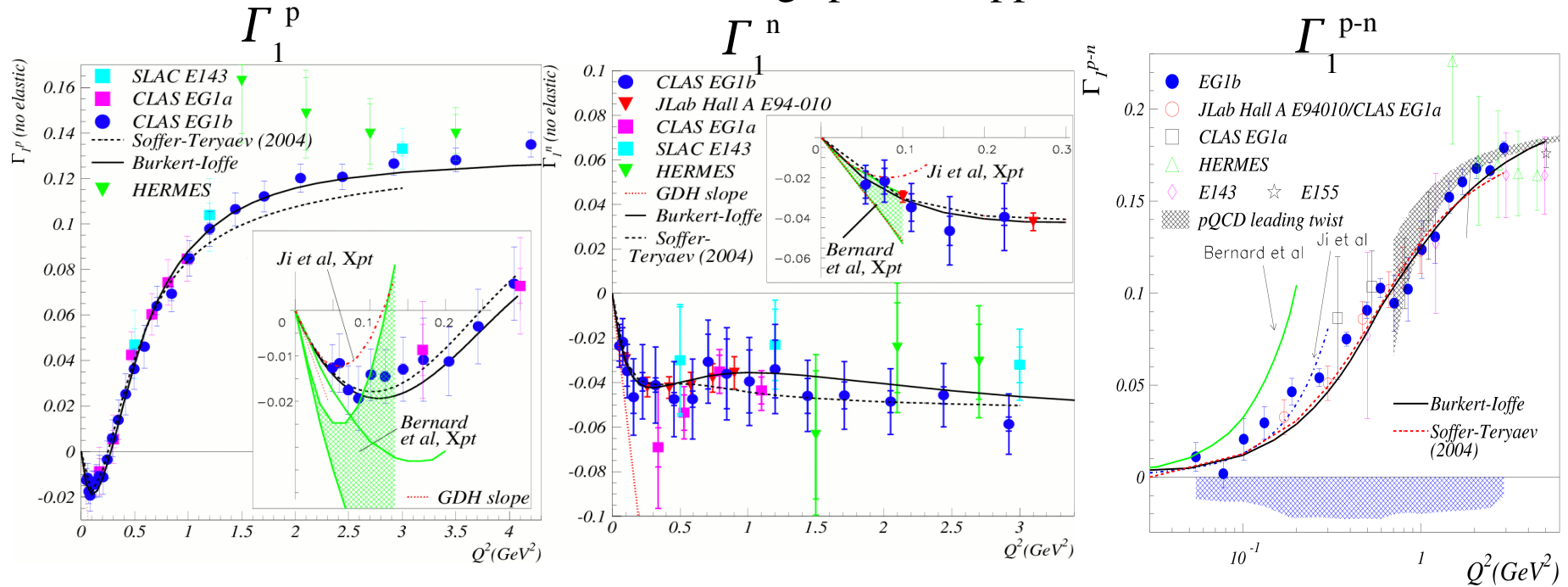
# Results on sum rules



• Models (MAID, Burkert-Ioffe, Soffer-Teryaev) are doing very well

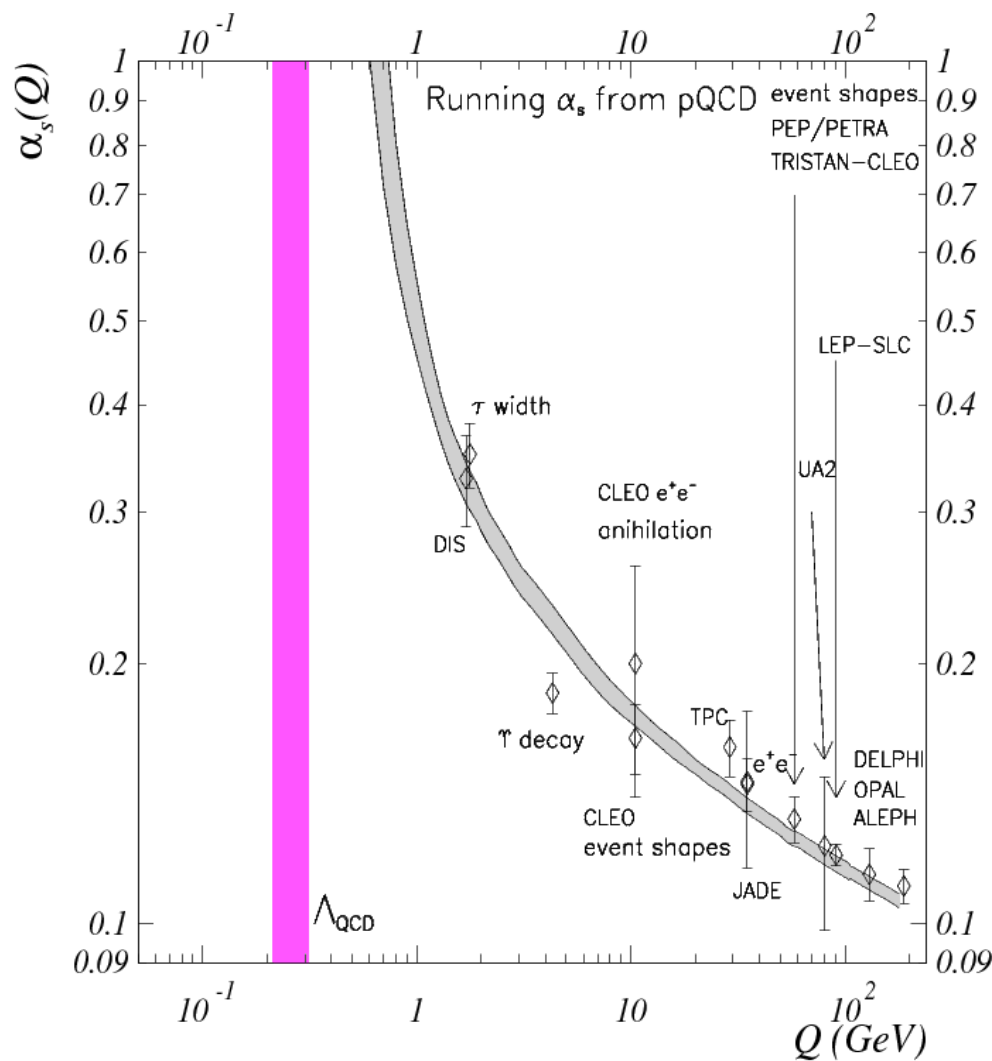
# Spin structure studies in the pQCD $\rightarrow$ npQCD transition region

Smooth transition, nothing special happens near  $\Lambda_{\text{QCD}}^2$ .



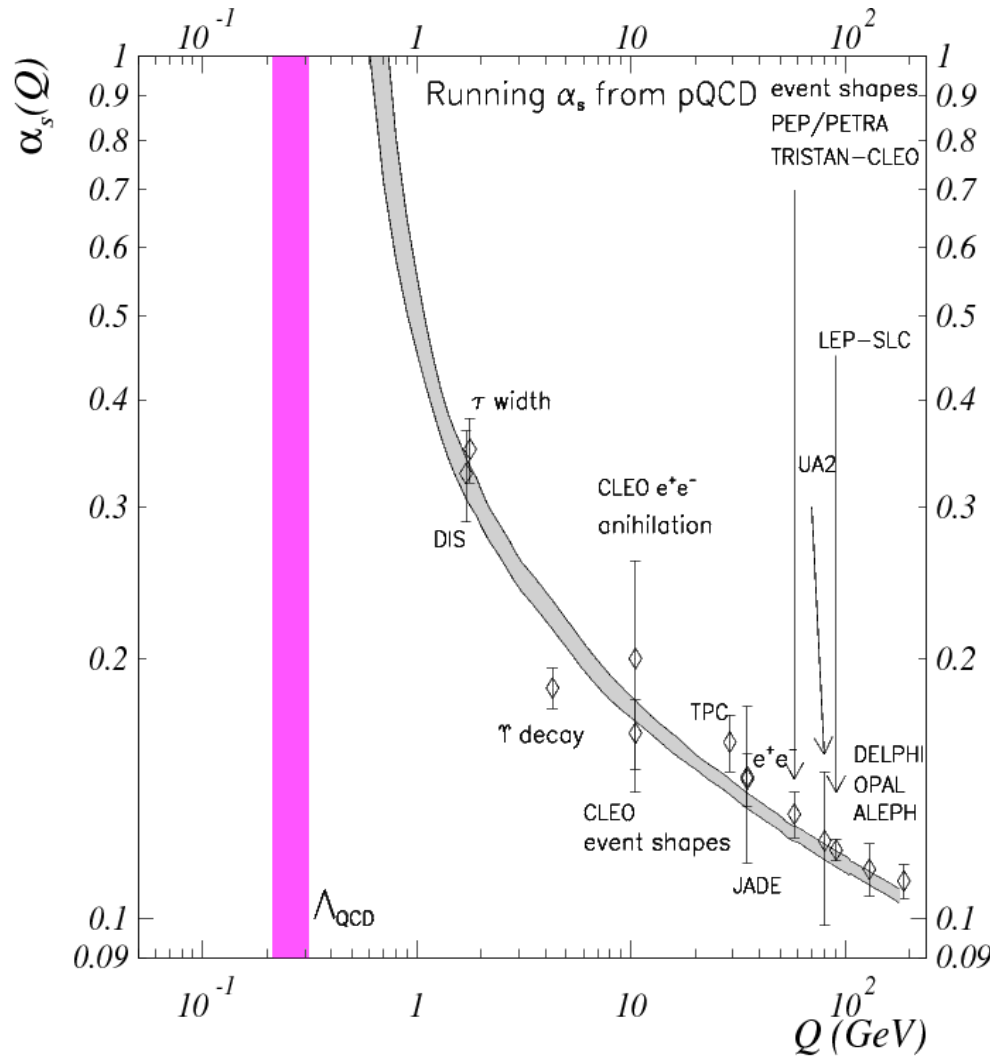
$\Rightarrow$  Can be used to extrapolate the definition of QCD coupling to low  $Q^2$ .

# The strong coupling constant from pQCD



$\alpha_s(Q)$  is well defined in pQCD at large  $Q^2$ .  
Can be extracted from data

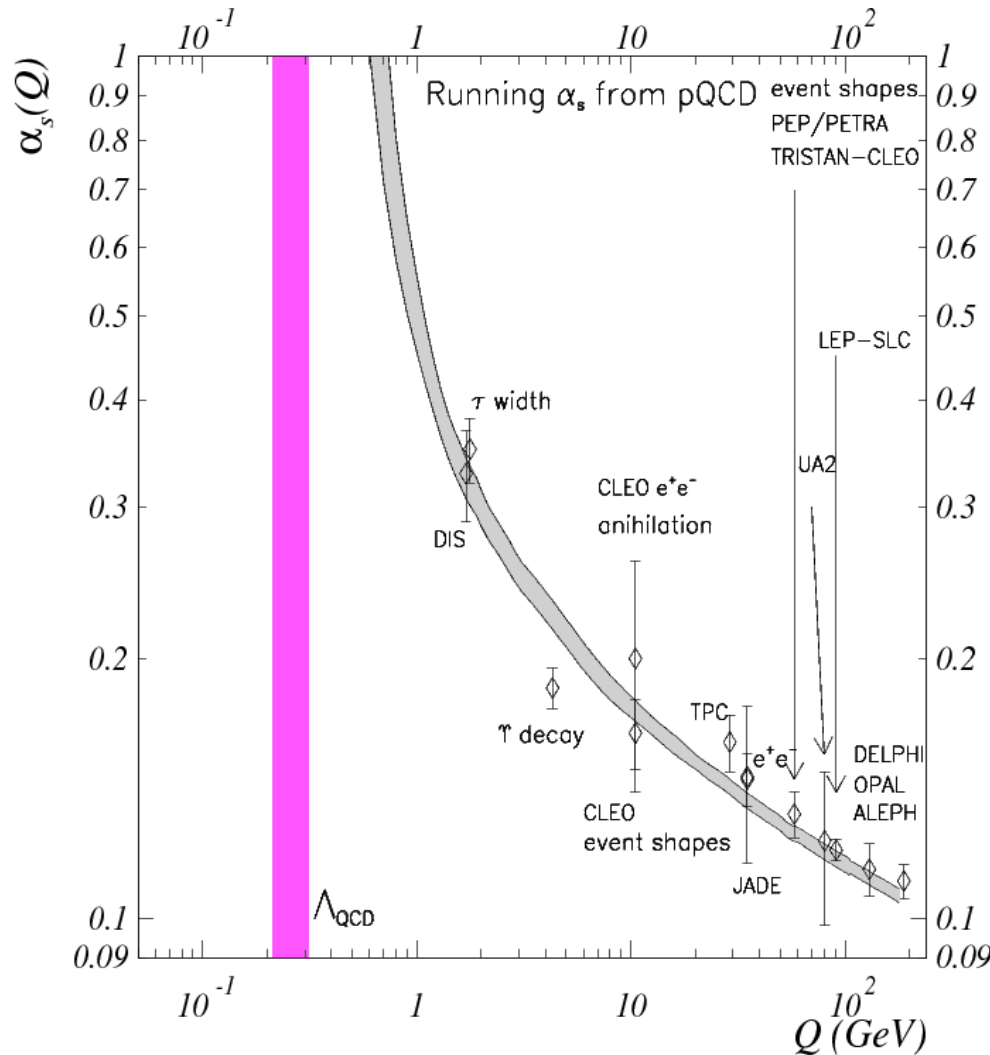
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$$\int g_1^p - g_1^n dx = \frac{1}{6} g_A \left( 1 - \frac{\alpha_s}{\pi} - 3.58 \left( \frac{\alpha_s}{\pi} \right)^2 - \dots \right)$$

# The strong coupling constant from pQCD



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Can be extracted from data (e.g. Bjorken Sum Rule).

At low  $Q^2$  ( $\sim \text{GeV}^2$ ), pQCD cannot be used to define  $\alpha_s$ : *If* pQCD is trusted,

$\alpha_s \rightarrow \infty$  for  $Q \rightarrow \Lambda_{\text{QCD}}$ .



# Definition of effective QCD couplings

G. Grunberg, PLB B95 70 (1980); PRD 29 2315 (1984); PRD 40 680(1989).

Prescription:

Define effective couplings (in the DIS domain) from a perturbative series truncated to the first term in  $\alpha_s$ .

Generalized Bjorken sum rule:

$$\int g_1^p - g_1^n dx = \Gamma_1^{p-n} = \frac{1}{6} g_A \left( 1 - \frac{\alpha_s}{\pi} - 3.58 \left( \frac{\alpha_s}{\pi} \right)^2 - \dots \right)$$

$$\Rightarrow \Gamma_1^{p-n} \hat{=} \frac{1}{6} g_A \left( 1 - \frac{\alpha_{s,g1}}{\pi} \right)$$

$$\alpha_{s,g1} \hat{=} \alpha_s^{\text{eff}} \text{ extracted from } \Gamma_1^{p-n}$$

By doing so we obtain a coupling constant that is:

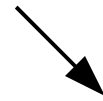
- Free of divergence.
- Not renormalization scheme dependent.
- Analytic when crossing quark thresholds.

But that is:

- Process dependent

⇒ There is a priori a different  $\alpha_s^{\text{eff}}$  for each different process.

However these  $\alpha_s^{\text{eff}}$  can be related, so they are not useless quantities.



*“Commensurate  
scale relations”*

S.J. Brodsky & H.J Lu, PRD 51 3652 (1995)

S.J. Brodsky, G.T. Gabadadze, A.L. Kataev, H.J Lu, PLB 372 133 (1996)

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Extrapolating Grunberg's prescriptions to low  $Q^2$ :

Fold pQCD gluon emission + higher twists (i.e. QCD final state interactions) in the effective coupling

Generalized Bjorken sum rule:

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[aside]

Folding the dynamics due to forces (here HT) into an effective parameter so that the particle is treated as free is common, e.g. [effective masses of electrons in a crystal](#) in quantum electronics:

In a crystal under a force  $F$ , the force effect is folded into an effective mass:

$$F_i = m_{ij}^* \gamma_j$$

Near an energy extremum  $E_0$ ,  $e^-$  are described as free particles:

$$E = E_0 + \frac{1}{2} \langle m^* \rangle v^2$$

Properties:

- $m_{ij}^*$  is a tensor (depending on the  $e^-$  energy) because the lattice is not isotropic and the total acceleration  $\gamma_j$  depends on the lattice force
- $m_{ij}^*$  depends on material;
- Near an energy max.  $m_{ii}^* < 0$ ;
- Holes have also effective masses of sign opposite to the  $e^-$ ;
- $m_{ij}^*$  determines the quantum states density, the speed of electric signals, surfaces of isoenergy,...

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**⇒ We should not be shocked if effective couplings depends on reactions, or may be negative.**

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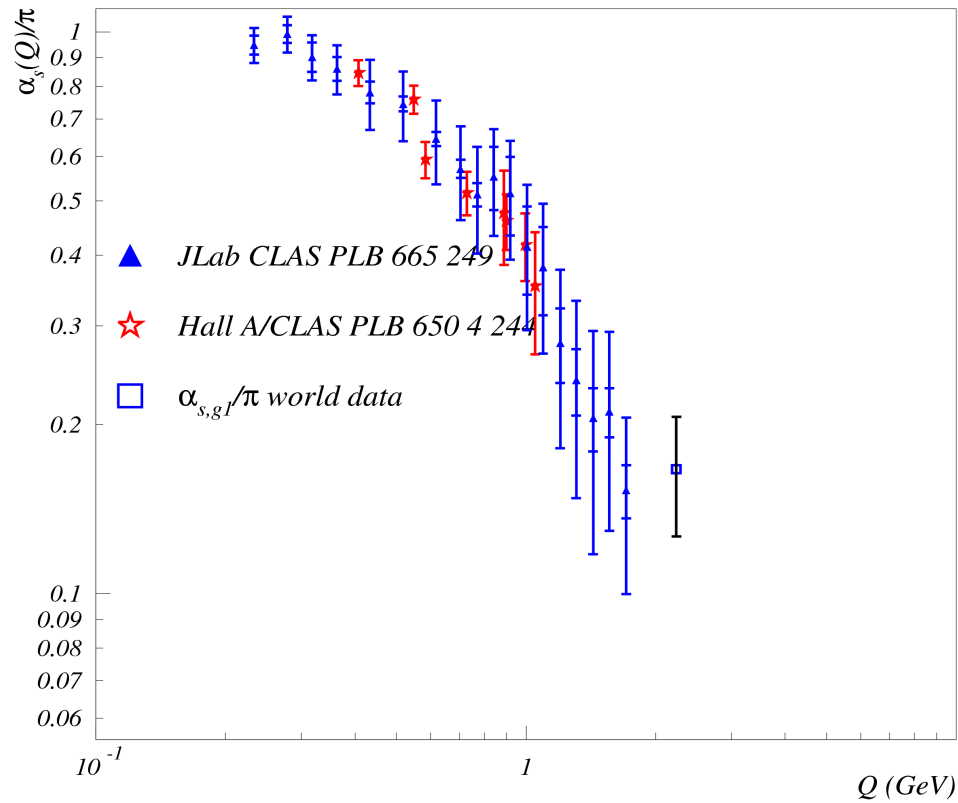
# Advantages of extracting $\alpha_{s,g1}$ from the Bjorken Sum Rule

- Bjorken sum: simple  $Q^2$ -dependence.
- Data exist at low, intermediate, and high  $Q^2$ .
- Sum rules (generalized GDH and Bjorken sum rules) complement the data in the unmeasured regions  $Q^2 \rightarrow 0$  and  $Q^2 \rightarrow \infty$ .

$\Rightarrow$  We can obtain  $\alpha_{s,g1}$  at any  $Q^2$ .

- Coherent contribution partly suppressed in the Bjorken sum.  $\Rightarrow$  Definition of  $\alpha_{s,g1}$  may be closest to  $\alpha_s^{\text{pQCD}}$  definition? Argument is stronger if global duality works (excluding the  $\Delta$  and the elastic contributions).

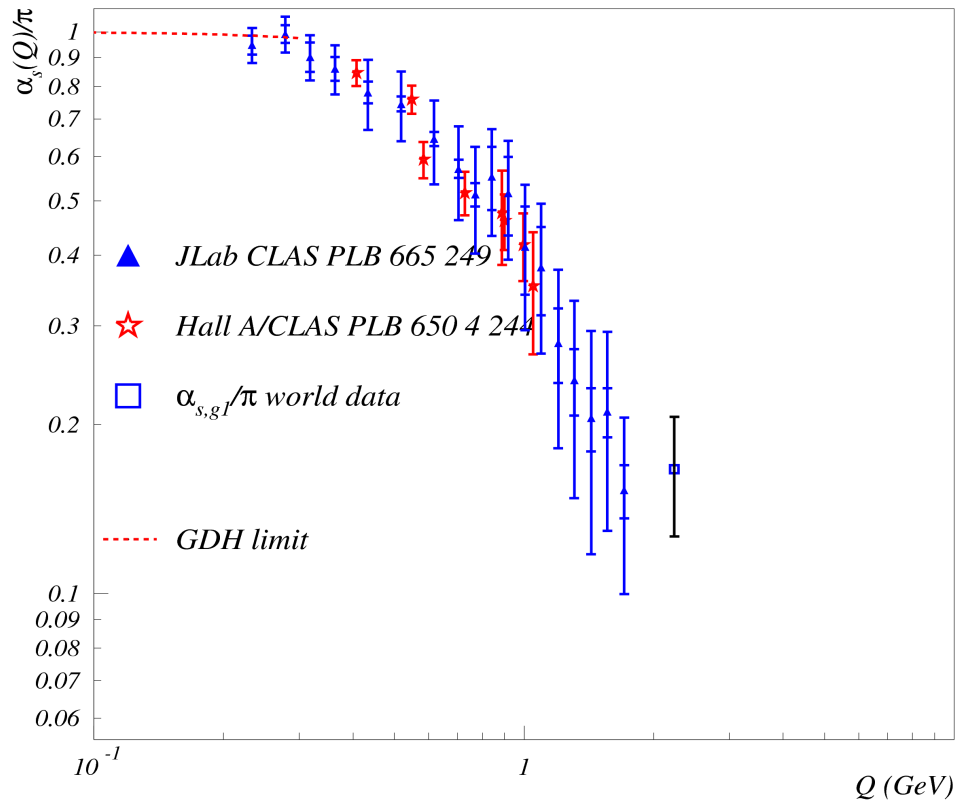
# $\alpha_{s,g1}$ from the Bjorken Sum data



$$\Gamma_1^{p-n} \hat{=} \frac{1}{6} g_A \left(1 - \frac{\alpha_{s,g1}}{\pi}\right)$$



# Low $Q^2$ limit



Bjorken and Gerasimov-Drell-Hearn sums are related:

$\Rightarrow Q^2 = 0$  constraints:

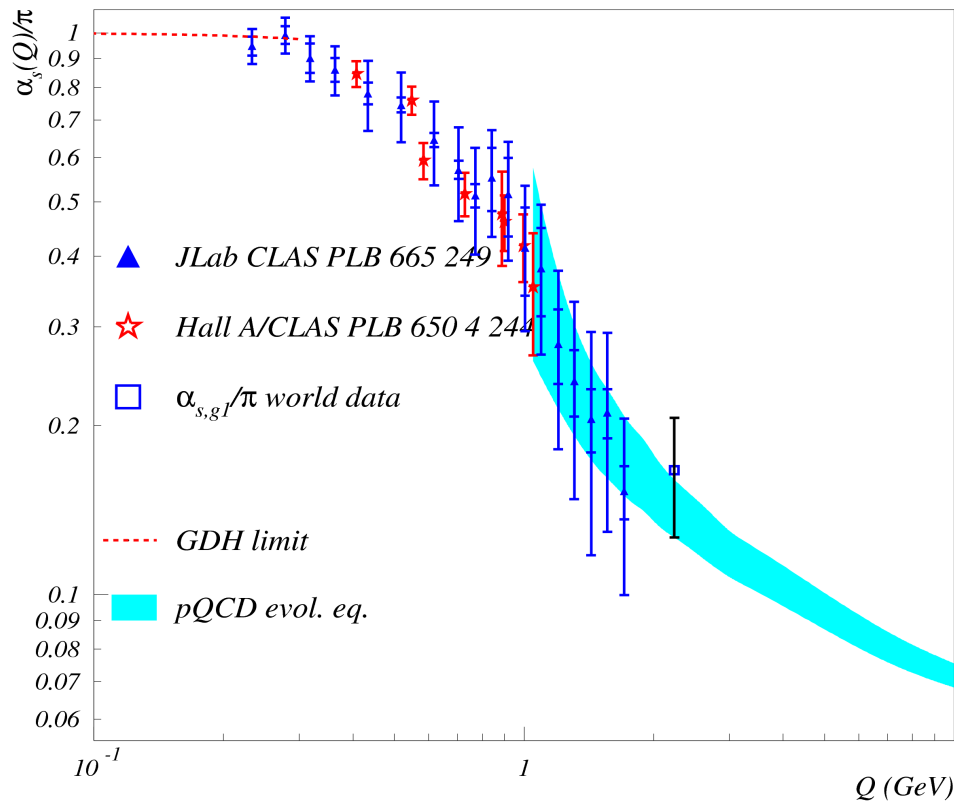
$$\Gamma_1^{p-n} = \frac{Q^2}{16\alpha\pi^2} (\text{GDH}^p - \text{GDH}^n)$$

$$\Rightarrow \begin{cases} \alpha_{s,g1} = \pi \\ \frac{d\alpha_{s,g1}}{dQ^2} = \frac{3\pi}{4g_A} \left( \frac{\kappa_n^2}{M_n^2} - \frac{\kappa_p^2}{M_p^2} \right) \end{cases}$$

$Q^2=0$

# Large $Q^2$ limit

$$\Gamma_1^{\text{p-n}} = \frac{g_A}{6} \left[ 1 - \frac{\alpha_s^{\text{pQCD}}}{\pi} - 3.58 \left( \frac{\alpha_s^{\text{pQCD}}}{\pi} \right)^2 - \dots \right] = \frac{g_A}{6} \left( 1 - \frac{\alpha_{s,g1}}{\pi} \right)$$



$$\Rightarrow \alpha_{s,g1} = \alpha_s^{\text{pQCD}}$$

$Q^2 \rightarrow \infty$

$\Rightarrow$  We know  $\alpha_{s,g1}$  at any  $Q^2$ .

First experimental indication of *conformal behavior* (i.e. no  $Q^2$ -dependence) of  $\alpha_s$  at low  $Q^2$ .

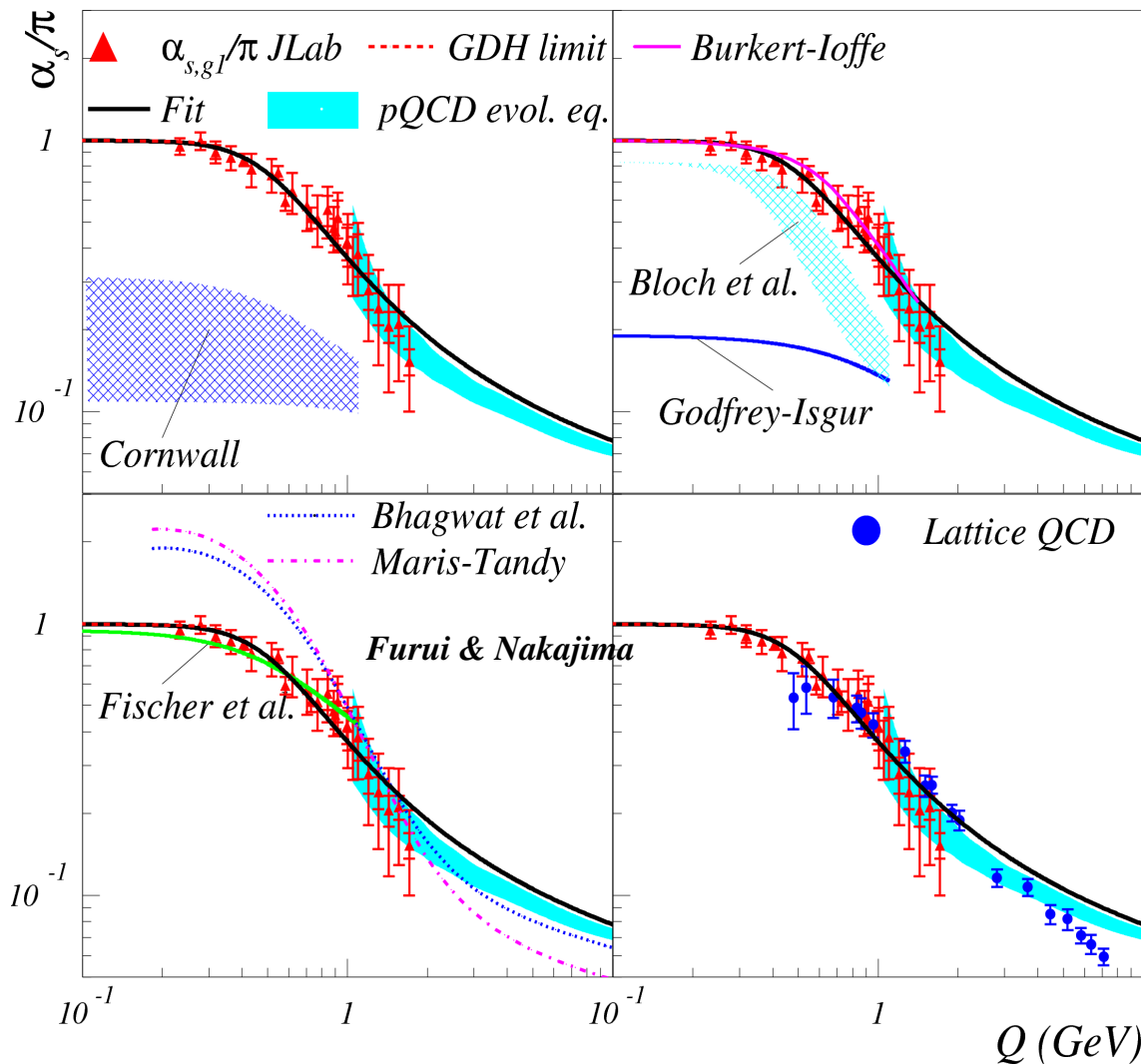
We have extrapolated a prescription defined in the DIS domain.

Open questions:

- Does the commensurate scale relations hold at low  $Q^2$  ?
- How does this particular effective coupling relates to theory calculations ?

Those are important questions, but we can always form  $\alpha_{s,g1}$  at any  $Q^2$  and see how it compares to calculations.

# “Comparison” with theory



Fisher *et al.*  
 Bloch *et al.*  
 Maris-Tandy  
 Bhagwat *et al.*  
 Cornwall


} Schwinger  
 -Dyson

Godfrey-Isgur: Constituent Quark  
 Model  
 Furui & Nakajima: Lattice

# “Comparison” with theory

⇒ Similar behavior. Hint of connection between these various quantities?

Establishing such connection would help understanding quark confinement:

“The question of light-quark confinements can be translated into charting the infrared behavior of the *universal*  $\beta$ -function.  i.e.  $\alpha_s$  at low  $Q^2$ .”

An elemental goal of hadron physics during the next ten years must be to design a program of experiments and theory that can map out the  $\beta$ -function.”

C. Roberts, White Paper on  $N^*$  physics. Dec. 2008

# $\alpha_{s,g1}$ and the AdS/CFT correspondence

Anti de Sitter/ Conformal Field Theory correspondence (AdS/CFT, or Maldacena duality):

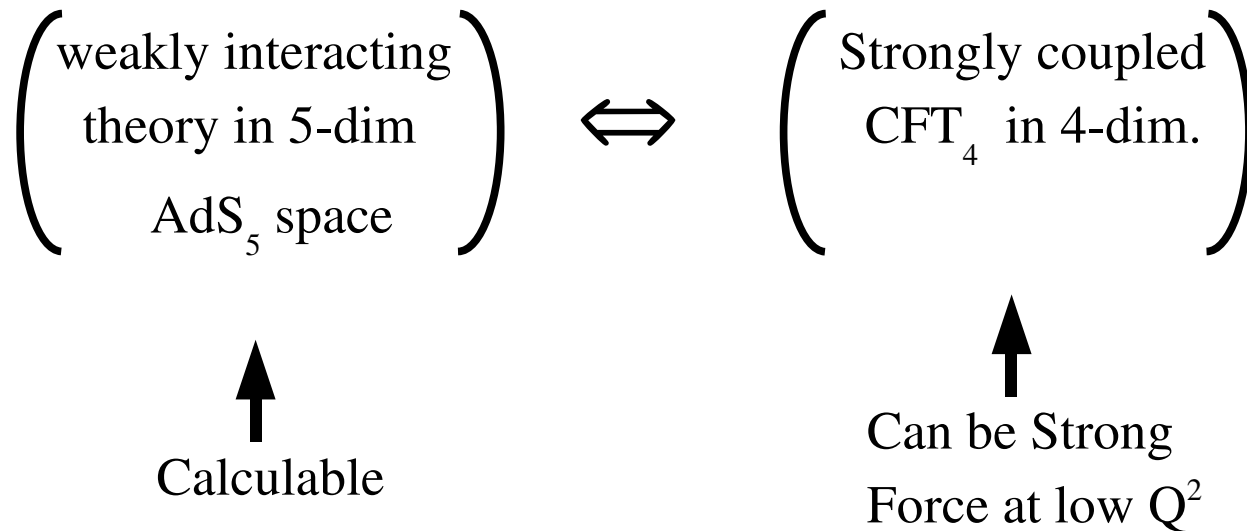
Anti de Sitter space: ~Space with constant negative curvature.

Conformal Field Theory: ~Field theory without scale dependence.

Correspondence: a weakly interactive, gravity-like, theory in N-dimensional anti de Sitter space can be mapped on the boundary of the anti de Sitter space ( $\Rightarrow N-1$  dim.) into a strongly interacting, QCD-like, conformal field theory.

# $\alpha_{s,g1}$ and the AdS/CFT correspondance

Important fact: Strong force is conformal at low  $Q^2$ .



⇒ **May open new possibilities of QCD analytical calculations in non-perturbative domain** (S. J. Brodsky, G. de Teramond,...)

PRL 94 201601 (2005); PRL 96 201601(2006)

# Conclusions

- Precise data on SSF moments at low and intermediate  $Q^2$ .
- Good agreement with resonance models (MAID, Burkert-Ioffe). Not so for  $\chi pT$ .
- Effective QCD couplings can be defined over the whole  $Q^2$  domain.
- Bjorken Sum is advantageous to define an effective coupling.
- Data and Sum rules allow to obtain the effective coupling at all  $Q^2$ .
- Comparison with low- $Q^2$  calculation shows similar features, same  $Q^2$ -dependence and similar size. In particular  $\alpha_s$  “freezes” at low  $Q^2$ .
- QCD conformal at low  $Q^2 \Rightarrow$  Application of AdS/CFT correspondence to non-perturbative QCD.



$\alpha_{s,g1}(d)$ 