



中国科学院高能物理研究所  
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**ASU** COLLEGE of  
LIBERAL ARTS & SCIENCES  
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# Quintom Cosmology and Lorentz Violation

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# Outline

- Introduction of Quintom cosmology
  - A No-Go theorem of dark energy models
  - Model building
- Realizing Quintom scenario by virtue of Lorentz violation
  - Spontaneous Lorentz violation
  - Breaking Lorentz symmetry by hand
- Early universe implication: Quintom bounce
- Conclusions

# Dark Energy:

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p)$$

$$\ddot{a} > 0 \rightarrow \rho + 3p < 0 \quad w = p/\rho < -1/3$$

Features: negative pressure; almost not clustered;

Candidates:

## I Cosmological constant (or vacuum Energy)

$$w_{\Lambda} = -1$$

$$\rho_{\Lambda} = -p_{\Lambda} = \frac{\Lambda}{8\pi G} \simeq (2 \times 10^{-3} eV)^4$$

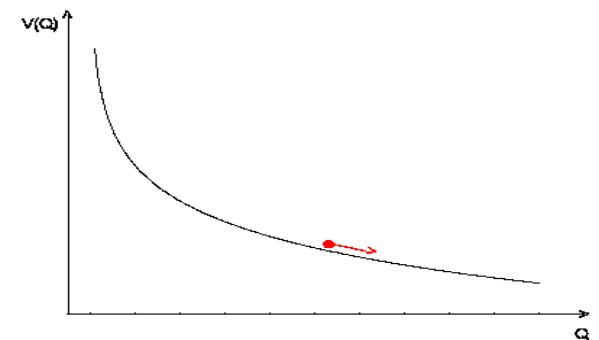
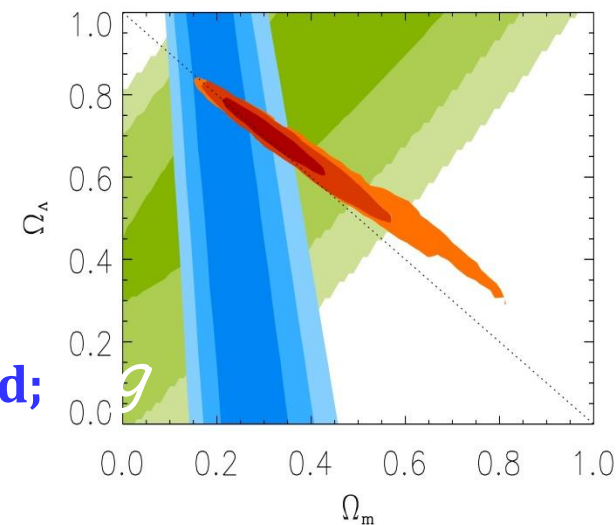
$$\rho_{ob}/\rho_{th} \sim 10^{-120}$$

**cosmological constant problem!**

## II Dynamical Fields:

Quintessence:  $\mathcal{L} = \frac{1}{2} \partial_{\mu} Q \partial^{\mu} Q - V(Q)$   
 $-1 \leq w_Q \leq 1$

Phantom:  $\mathcal{L} = -\frac{1}{2} \partial_{\mu} P \partial^{\mu} P - V(P)$   
 $w_P \leq -1$



# Determining the equation of state of dark energy with cosmological observations

## Parametrization

$$w_{DE}(a) = w_0 + w_1(1 - a)$$

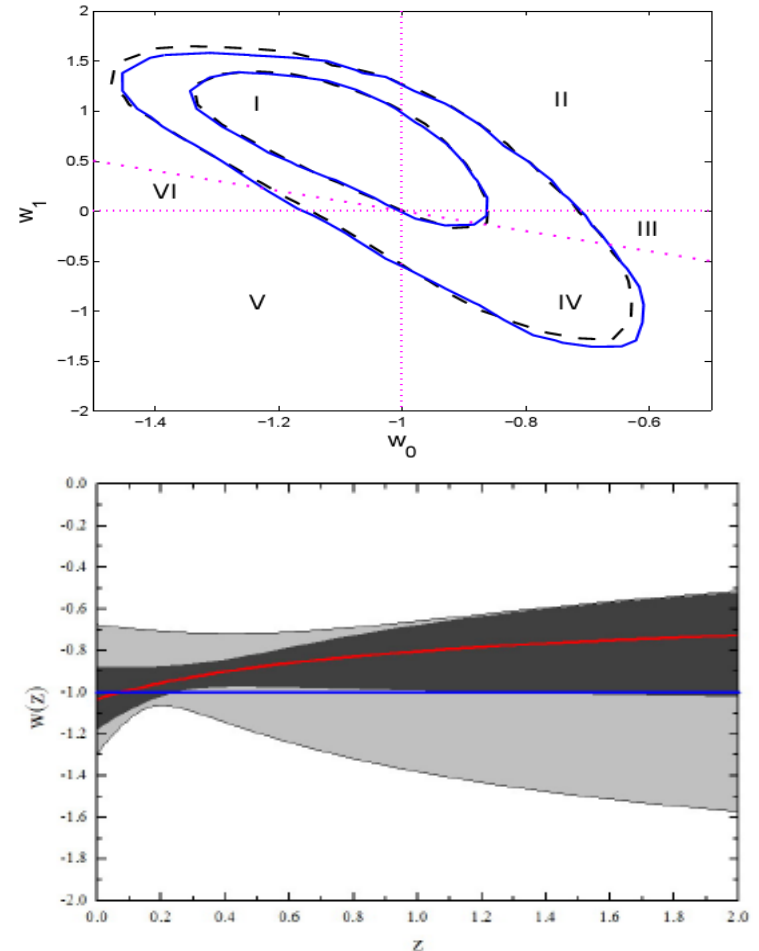
## Classification of DE

- Cosmological Constant:  $w = -1$
- Quintessence:  $w > -1$
- Phantom:  $w < -1$
- K-essence:  $w > -1$  or  $< -1$
- Quintom:  $w$  crosses  $-1$
- Modified Gravity; ...

## Current status

CC fits the observation very well;  
Marginal evidence favors quintom;  
Need more accurate data ...

Feng, Wang & Zhang, PLB 607:35,2005;  
Huterer & Cooray, PRD 71:023506,2005;  
Xia, Zhao, Feng & Zhang PRD 73:063521, 2006



# NO-GO Theorem

- For theory of dark energy in the 4D Friedmann-Roberston-Walker universe described by a single perfect fluid or a single scalar field with a lagrangian of  $L = L(\phi, \partial_\mu \phi \partial^\mu \phi)$ , which minimally couples to Einstein Gravity, its equation of state cannot cross over the cosmological constant boundary.

- Key points to the proof:

▶ For a single perfect fluid, its sound speed square is of form,

$$c_s^2 \equiv \left. \frac{\delta p}{\delta \rho} \right|_s = \left( w - \frac{w'}{3H(1+w)} \right)$$

which would be divergent when  $w=-1$ ;

▶ For a single scalar field, there is a general dispersion relation for its perturbations:

$$\omega^2 = c_s^2 k^2 - \frac{z''}{z} - 3c_s^2 (H' - H^2) \quad z \equiv \sqrt{\phi'^2 |\rho, X|}$$

which would also be divergent when  $w=-1$ .

# NO-GO Theorem

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Feng, Wang & Zhang, Phys. Lett. B 607:35, 2005;

Vikman, Phys. Rev. D 71:023515, 2005;

Hu, Phys. Rev. D 71:047301, 2005;

Caldwell & Doran, Phys. Rev. D 72:043527, 2005;

Zhao, Xia, Li, Feng & Zhang, Phys. Rev. D 72:123515, 2005;

Kunz & Sapone, Phys. Rev. D 74:123503, 2006;

.....

Xia, **CYF**, Qiu, Zhao, & Zhang, Int.J.Mod.Phys.D17:1229,2008

# Quintom Model-building

- See the review:  
    **CYF**, Saridakis, Setare & Xia, Phys.Rept.493:1,2010
- Gauss-Bonnet Modified gravity
  - Cai, Zhang & Wang Commun.Theor.Phys.44:948,2005
- DGP Braneworld DE
  - Zhang & Zhu, Phys.Rev.D75:023510,2007
- Vector DE
  - Picon, JCAP 0407:007,2004;
  - Wei & Cai, Phys.Rev.D73:083002,2006
- Interactive DE
  - Wang, Gong & Abdalla, Phys.Lett.B624:141,2005
- **Effective Field Theory (EFT) approach**

Why we use EFT to study DE?

EFT may do not solve the problem of DE completely, but can tell us generic features and potential observational signals of DE.

# The earliest Quintom model: Double-field

Action: 
$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} \nabla_\mu \phi_1 \nabla^\mu \phi_1 - \frac{1}{2} \nabla_\mu \phi_2 \nabla^\mu \phi_2 - V(\phi_1, \phi_2) \right]$$

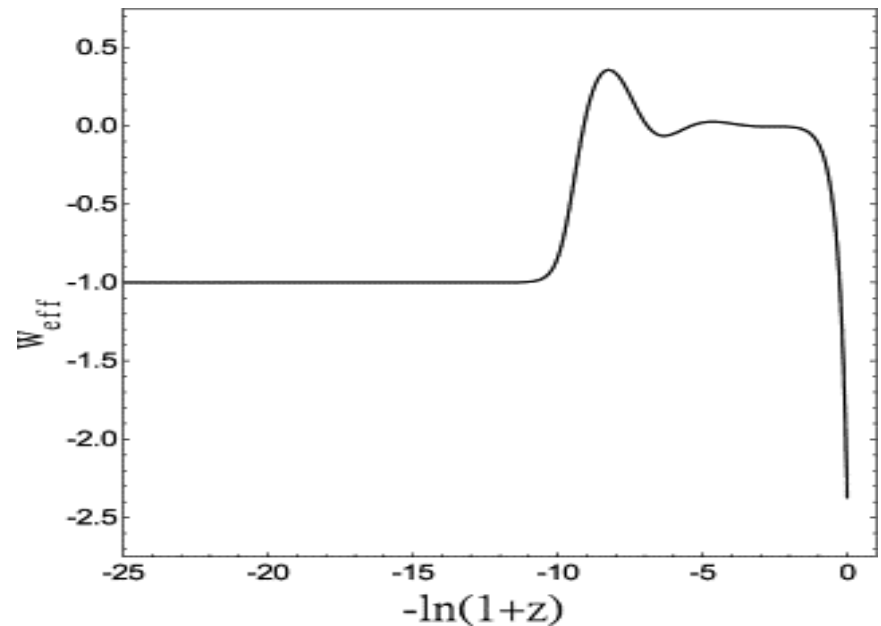
Potential: 
$$V(\phi_1, \phi_2) = V_0 \left[ \exp\left(-\frac{\lambda}{M_p} \phi_1\right) + \exp\left(-\frac{\lambda}{M_p} \phi_2\right) \right]$$

## ➤ Benefits:

- $w$  can cross -1;
- Easily fit to observations;
- With specific potentials it has tracking behavior;
- Classical perturbations are well defined.

## ➤ Problem:

- If quantized, it is unstable because of a ghost.



Feng, Wang & Zhang, PLB 607:35, 2005



# Quintom Model from EFT: Lee-Wick model

Lagrangian: 
$$\mathcal{L} = -\frac{1}{2}\nabla_\mu\phi\nabla^\mu\phi + \frac{c}{2M^2}\Box\phi\Box\phi - V(\phi)$$

Equivalent Lagrangian:

$$\mathcal{L} = -\frac{1}{2}\nabla_\mu\psi\nabla^\mu\psi + \frac{1}{2}\nabla_\mu\chi\nabla^\mu\chi - V(\psi-\chi) - \frac{M^2}{2c}\chi^2$$

where we have redefine,

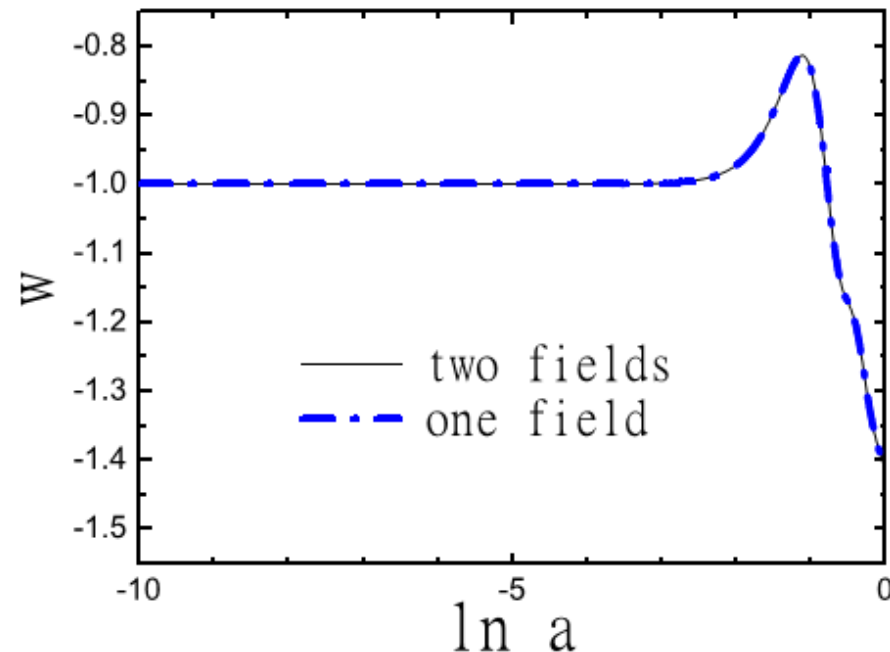
$$\begin{aligned}\chi &= \frac{c}{M^2}\Box\phi, \\ \psi &= \phi + \chi.\end{aligned}$$

## ➤ Progress:

- Perturbatively well-defined;

## ➤ Problem:

- Incomplete in ultraviolet limit.



# Quintom Model from EFT: Spinor Quintom

Action: 
$$S_\psi = \int d^4x \, e \left[ \frac{i}{2} (\bar{\psi} \Gamma^\mu D_\mu \psi - D_\mu \bar{\psi} \Gamma^\mu \psi) - V \right]$$

The vierbein algebra yields:

$$\bar{\psi} \psi \propto a^{-3}$$
$$w = -1 + \frac{V' \bar{\psi} \psi}{V}$$

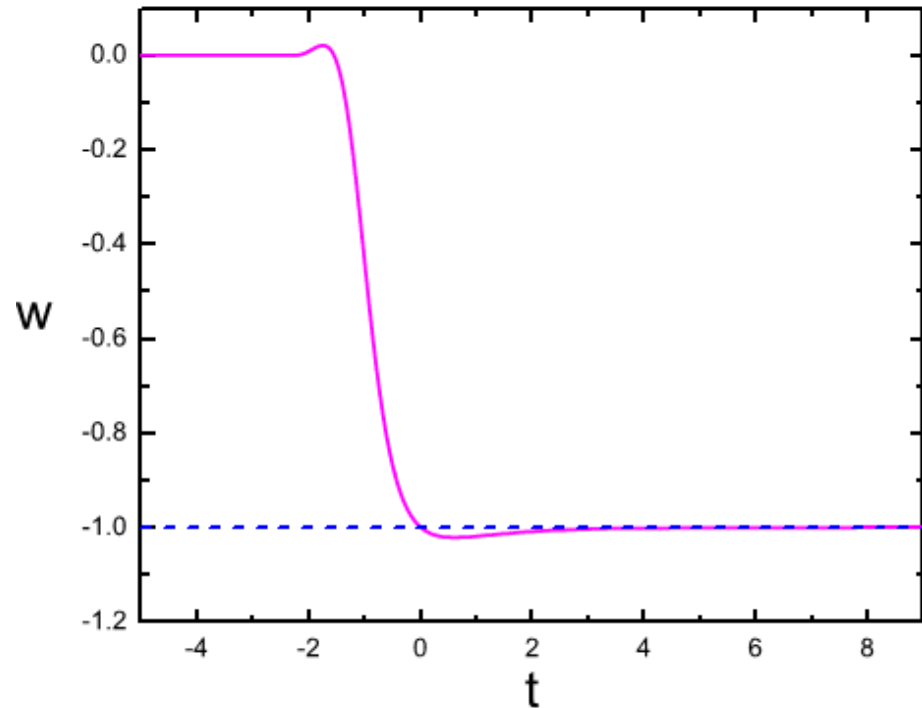
## ➤ Progresses:

- No ghost;
- With certain potential, it has the similar behavior of Chaplygin gas, namely

$$V = \sqrt{V_0 (\bar{\psi} \psi - b)^2 + c}$$

## ➤ Weak point:

- An effectively negative mass when  $w$  is below  $-1$ .



# Realizing quintom scenario by virtue of Lorentz violation

- Spontaneous Lorentz violation  
Ghost condensation
- Breaking Lorentz symmetry by hand  
Horava-Lifshitz type models

# Ghost Condensation

- The action takes  
where we define

$$\mathcal{L} = P(X)$$

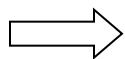
$$X = \partial_\mu \phi \partial^\mu \phi$$

- For simplicity, we take  $P(X) = M^{-4}(X - M^4)^2$

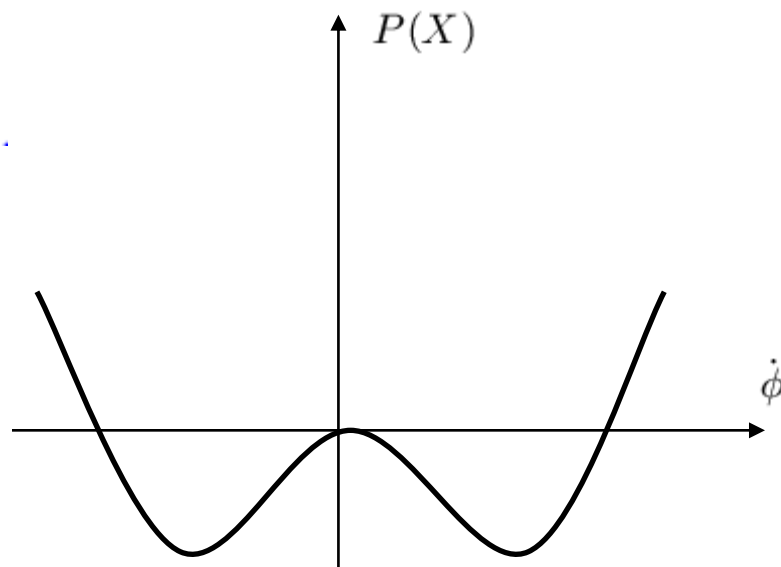
- Ghost instability around  $\dot{\phi} = 0$

- Ghost condensates at

$$P_{,X} = 0$$



$$\langle \dot{\phi}^2 \rangle = M^4$$



Arkani-Hamed, Cheng, Luty, Mukohyama, JHEP 0405:074,2004

Arkani-Hamed, Creminelli, Mukohyama, Zaldarriaga, JCAP 0404:001,2004

# Ghost Condensation

- In the flat FRW universe, the EoM is given by

$$\partial_t[a^3 P_{,X} \dot{\phi}] = 0 \quad \Longrightarrow \quad P_{,X} \dot{\phi} \propto a^{-3}$$

This solution shows that the universe approaches to the state of condensation along with the cosmic expansion.

- Time translational symmetry is broken in this theory, and thus **Lorentz violation spontaneously**. It can be viewed as a Higgs phase of gravity.
- The ghost condensation accompanied with other canonical scalar field, can lead to the equation of state of the universe across the line  $w=-1$ . Thus a **quintom** scenario is achieved.

# Ghost Condensation

- Unsettled issues:
- For example, in the simplest case  $P(X) = M^{-4}(X - M^4)^2$ . We have the sound speed parameter:
$$c_s^2 \equiv \frac{P_{,X}}{\rho_{,X}} = \frac{X - M^4}{3X - M^4}$$
- Then we find this theory allows superluminal propagation of information in certain case.
- Also it may yields negative sound speed square which implies perturbative instability.
- This model does not admit a Hamiltonian formulation. This is because the Legendre transform is multi-valued. Therefore its quantization is still unclear.

# Horava-Lifshitz type models

- Inspired by condensed matter physics, a non-relativistic field theory with well-defined Hamiltonian formulation is possible.
- We work on a  $d+1$  dimensional space-time, with one time coordinate and  $d$  spatial coordinates. The theories of Horava-Lifshitz type exhibit fixed points with anisotropic scaling governed by a dynamical critical exponent:  
$$t \rightarrow b^z t, \quad x^i \rightarrow b x^i$$
with  $[t] = -z$  and  $[x^i] = -1$ .
- At the starting point, this theory abandoned the Lorentz symmetry.

Horava, Phys. Rev. D 79, 084008 (2009) ;  
Lifshitz, Zh. Eksp. Teor. Fiz. 11, 255 (1941).

# Horava-Lifshitz type models

- Specifically, we consider a scalar field minimally coupling to gravity sector in this theory.
- The full action of HL gravity for  $z=3$  is given by,

$$S_g = \int dt d^3x \sqrt{g} N \left\{ \frac{2}{\kappa^2} (K_{ij} K^{ij} - \lambda K^2) - \frac{\kappa^2}{2w^4} C_{ij} C^{ij} + \frac{\kappa^2 \mu}{2w^2} \frac{\epsilon^{ijk}}{\sqrt{g}} R_{il} \nabla_j R_k^l - \right. \\ \left. - \frac{\kappa^2 \mu^2}{8} R_{ij} R^{ij} + \frac{\kappa^2 \mu^2}{8(1-3\lambda)} \left[ \frac{1-4\lambda}{4} R^2 + \Lambda R - 3\Lambda^2 \right] \right\},$$

where  $K_{ij}$  is the extrinsic curvature,  $C^{ij}$  is the cotton tensor.

- The full action of HL scalar for  $z=3$  is given by,

$$S_\sigma = \int dt d^3x \sqrt{g} N \left[ \frac{3\lambda-1}{4} \frac{\dot{\sigma}^2}{N^2} + h_1 h_2 \sigma \nabla^2 \sigma - \frac{1}{2} h_2^2 \sigma \nabla^4 \sigma + \frac{1}{2} h_3^2 \sigma \nabla^6 \sigma - V_\sigma(\sigma) \right]$$



# Horava-Lifshitz type models

- Effectively, the dark energy sector can be expressed by

$$\rho_{DE} \equiv \frac{3\lambda - 1}{4} \dot{\sigma}^2 + V_{\sigma}(\sigma) - \frac{3\kappa^2 \mu^2 k^2}{8(3\lambda - 1)a^4} - \frac{3\kappa^2 \mu^2 \Lambda^2}{8(3\lambda - 1)}$$

$$p_{DE} \equiv \frac{3\lambda - 1}{4} \dot{\sigma}^2 - V_{\sigma}(\sigma) - \frac{\kappa^2 \mu^2 k^2}{8(3\lambda - 1)a^4} + \frac{3\kappa^2 \mu^2 \Lambda^2}{8(3\lambda - 1)}$$

# Horava-Lifshitz type models

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Kinetic

Potential

Dark radiation

Cosmological constant

# Horava-Lifshitz type models

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Kinetic

Potential

Dark radiation

Cosmological constant

- Quintessence part: the  $\sigma$  field
- Ghost part: dark radiation + CC

Dark radiation exists when the spatial curvature is not flat

# Horava-Lifshitz type models

- The combination of Quintessence part and ghost part leads to a quintom scenario.
- The -1 crossing can take place at early universe, so that gives rise to bouncing and cyclic solutions. (Refer to Robert Brandenberger's talk)  
Brandenberger, Phys.Rev.D80:043516,2009;  
**CYF**, Saridakis, JCAP 0910:020,2009.
- Benefits on renormalization:  
Gravity is marginally renormalizable, since the propagator takes
$$\sim \frac{1}{k^6}$$
- Unclear issue: Strong coupling problem for HL gravity.

# Quintom Bounce: Basic Idea

The expanding of the universe is transited from a contracting phase; during the transition, the scale factor of the universe is at its minimum but non-vanishing, thus the singularity problem can be avoided.

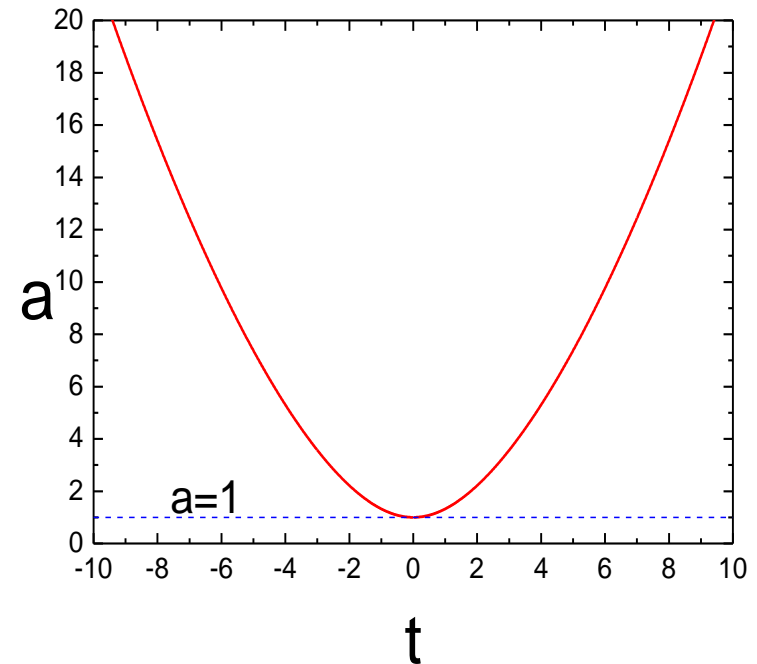
Contracting:  $H < 0$  Expanding:  $H > 0$

Bounce point:  $H = 0$  Around it:  $\dot{H} > 0$

$$\dot{H} = -4\pi G\rho(1+w) \Rightarrow w < -1$$

Transition to the observable universe (radiation dominant, matter dominant, ...)

So  $w$  needs to cross  $-1$ , and Quintom is required!

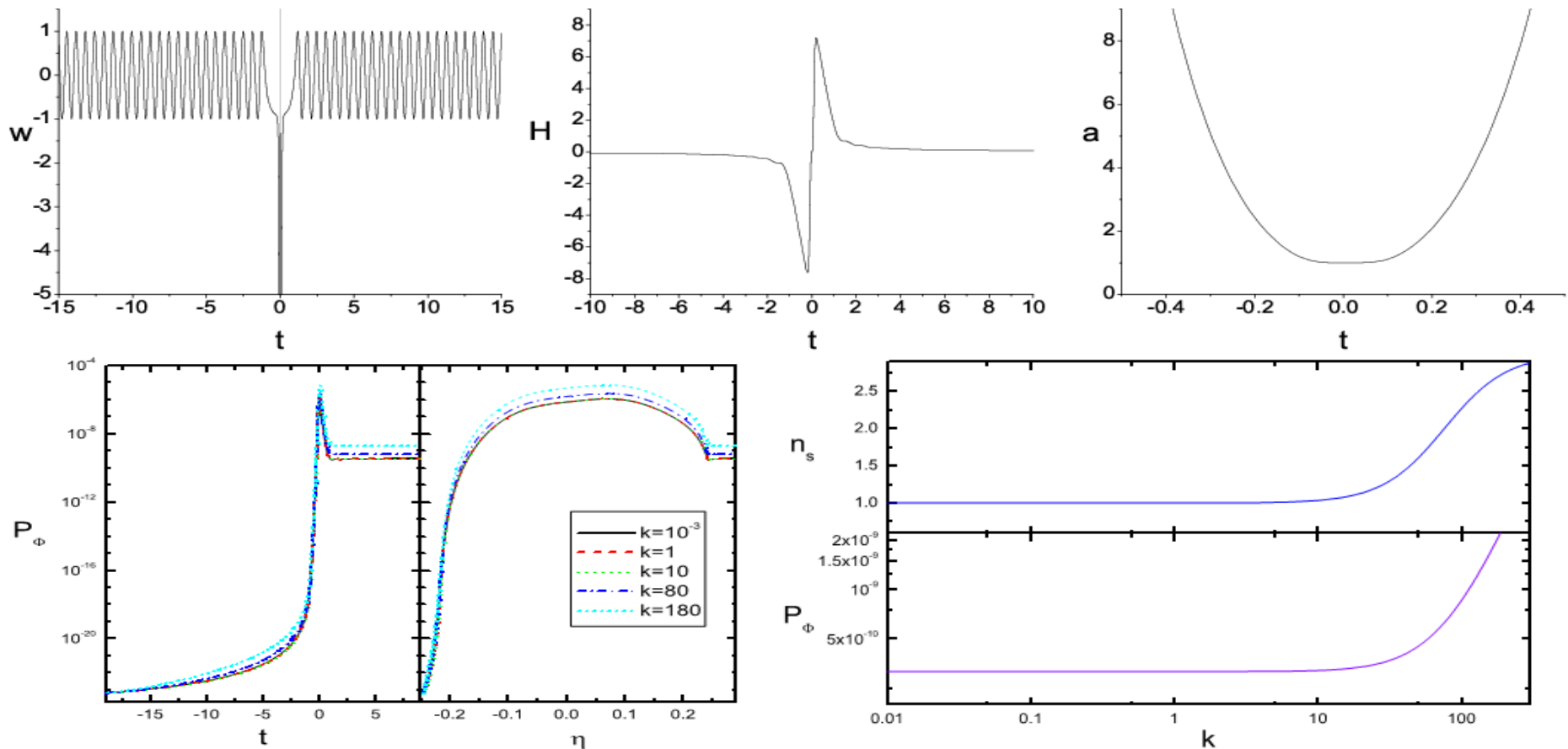


# Lee-Wick Bounce

The simplest Lagrangian: **CYF**, Qiu, Brandenberger & Zhang, PRD80:023511, 2009.

$$\mathcal{L} = \frac{1}{2} \partial_\mu \hat{\phi} \partial^\mu \hat{\phi} - \frac{1}{2M^2} (\partial^2 \hat{\phi})^2 - \frac{1}{2} m^2 \hat{\phi}^2$$

Plots of background evolution and perturbation:



An alternative to inflation!

Refer to Brandenberger's talk

# Summary

- ✓ Review on Quintom Cosmology:

  - Unique signal:  $w$  across  $-1$ ;

  - No-Go Theorem and Model-building;

- ✓ Realizing quintom scenario in the theories with Lorentz violation:

  - Ghost condensation

  - Horava-Lifshitz type model

- ✓ Application of Quintom in early universe:

  - bounce cosmology;

  - scale-invariant spectrum.

# THANK YOU!

Happiness only real when shared!  
from the novel “Into the wild”



# Perturbations of quintom dark energy

- A self-consistent data-fitting method should include the perturbations, even for a cosmological constant;
- Difficulties of DE perturbations when  $w$  crosses  $-1$ :

$$\dot{\delta}_i = -(1 + w_i)(\theta_i - 3\dot{\Phi}) - 3\mathcal{H}\left(\frac{\delta P_i}{\delta \rho_i} - w_i\right)\delta_i \quad ,$$

$$\dot{\theta}_i = -\mathcal{H}(1 - 3w_i)\theta_i - \frac{\dot{w}_i}{1 + w_i}\theta_i + k^2\left(\frac{\delta P_i/\delta \rho_i}{1 + w_i}\delta_i - \sigma_i + \Psi\right)$$

$\delta, \theta$  are the density and velocity fluctuation respectively

$$w \rightarrow -1, \dot{w} \neq 0 \Rightarrow \delta, \theta \rightarrow \infty$$

- This trouble can be solved in a double-field quintom model;

$$\dot{\delta}_i = -(1 + w_i)(\theta_i - 3\dot{\Phi}) - 3\mathcal{H}(1 - w_i)\delta_i - 3\mathcal{H}\frac{\dot{w}_i + 3\mathcal{H}(1 - w_i^2)}{k^2}\theta_i$$

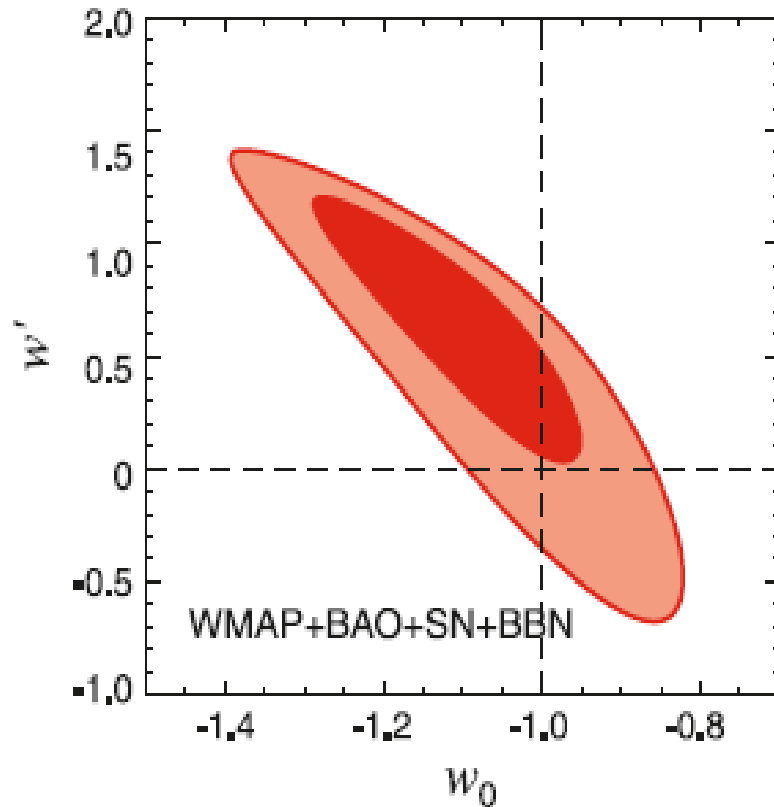
$$\dot{\theta}_i = 2\mathcal{H}\theta_i + \frac{k^2}{1 + w_i}\delta_i + k^2\Psi \ .$$

$$w_{\text{quintom}} = \frac{\sum_i P_i}{\sum_i \rho_i} \quad \delta_{\text{quintom}} = \frac{\sum_i \rho_i \delta_i}{\sum_i \rho_i} \quad \theta_{\text{quintom}} = \frac{\sum_i (\rho_i + P_i)\theta_i}{\sum_i (\rho_i + P_i)}$$

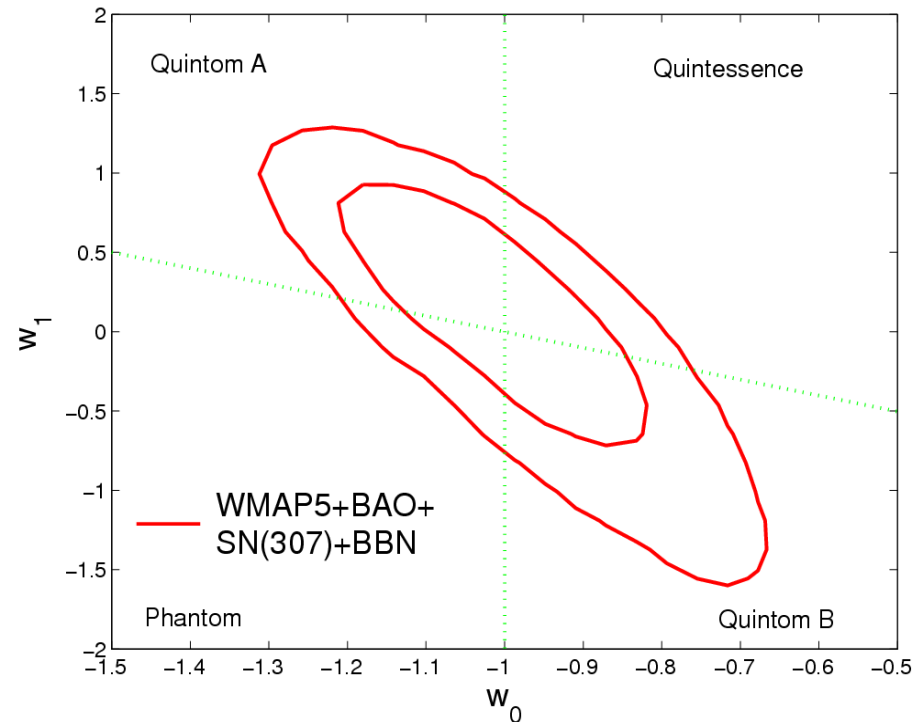
**The perturbations are continuous and well-defined  
when  $w$  crosses -1**

- Also see the review:  
CYF, Saridakis, Setare & Xia, Phys.Rept.493:1,2010

# Constraints on the EoS of dark energy



WMAP5 result (without perturbations)  
E. Komatsu et al., arXiv:0803.0547



With perturbations  
Xia, Li, Zhao, Zhang, PRD78:083524,2008

- Status:
- 1) Cosmological constant fits data well;
  - 2) Dynamical model not ruled out;
  - 3) Best fit value of EoS:  
slightly  $w$  across  $-1 \rightarrow$  Quintom model

# Quintom examples in string theory

Descriptions:

- A rolling tachyon is effectively described by a non-local cubic string field theory, which corresponds to a slowly decaying D3-brane;
- In a local approximation, this model contains quintom degrees of freedom.

The model: 
$$S = \int d^4x \sqrt{-g} \left[ \frac{M_p^2}{2} R + \gamma^4 \left( \frac{1}{2} \phi F(\Box) \phi - V(\phi) \right) \right]$$

where

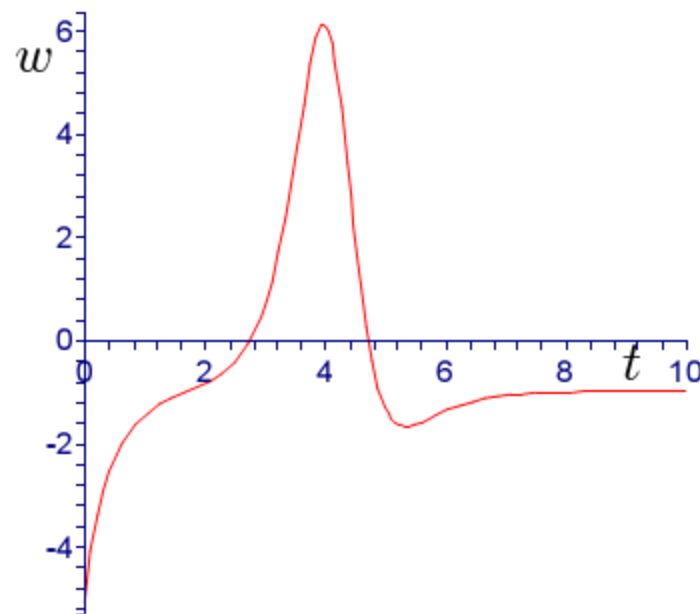
$$F(\Box) = (1 - 4\xi^2 \alpha \Box) e^{\alpha \Box}$$

- At low energy limit, it behaves as a double-field quintom;

Aref'eva, Koshelev & Vernov, PRD72:064017,2005

- While at high energy scale, the model contains multiple quintom degrees of freedom.

Mulryne & Nunes, PRD78:063519,2008



# Quintom examples in string theory

An equivalent scenario with a generalized DBI action:

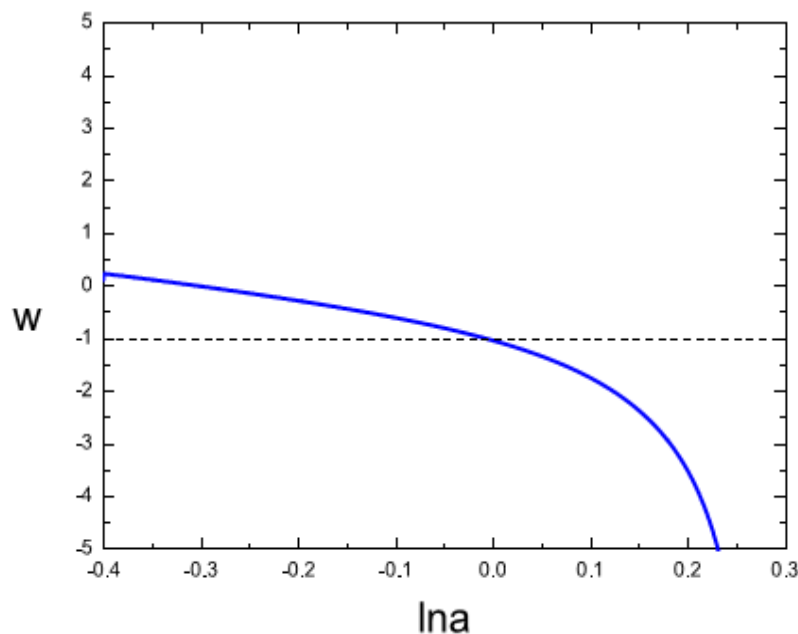
$$S = \int d^4x \sqrt{-g} \left[ -V(\phi) \sqrt{1 - \alpha' \nabla_\mu \phi \nabla^\mu \phi} + \beta' \phi \square \phi \right].$$

with the potential:

$$V(\phi) = V_0 e^{-\lambda \phi^2}$$

This is an action including higher derivatives, but of a non-perturbative form. (beta term involves two scalars and two derivatives, the same as alpha term)

The quantization of this class of models is still an open issue.



**CYF**, Li, Lu, Piao, Qiu & Zhang, PLB651:1,2007

# Power counting renormalizability

$$I_K \supset \int dt dx^3 \dot{\phi}^2$$

$$I_V \supset \int dt dx^3 \phi^p$$

Scaling dimension of $\phi$		Condition of renormalizability
Lorentz QFT	$t \rightarrow b t, x \rightarrow b x$ $E \rightarrow b^{-1} E$ $\phi \rightarrow b^s \phi$ $1+3-2+2s=0 \rightarrow s=-1$	$\propto E^{-(1+3+ps)}$
		$\rightarrow p \leq 4$
HL QFT	$t \rightarrow b^z t, x \rightarrow b x$ $E \rightarrow b^{-z} E$ $\phi \rightarrow b^s \phi$ $z+3-2z+2s=0 \rightarrow s=(z-3)/2$	$\propto E^{-(z+3+ps)/z}$
		$\rightarrow$ when $z=3, s=0$ , any nonlinear interactions can be renormalized. Thus gravity becomes renormalizable

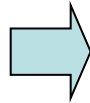
# Quintom cosmology and the origin of the universe

**Cosmology: science based on observations**

CMB: WMAP, Planck

LSS: SDSS

SuperNovae



**hot Big Bang**

## **Theoretical Problems:**

- Horizon
- Flatness
- Monopole
- Structure formation
- And singularity

**Inflation**

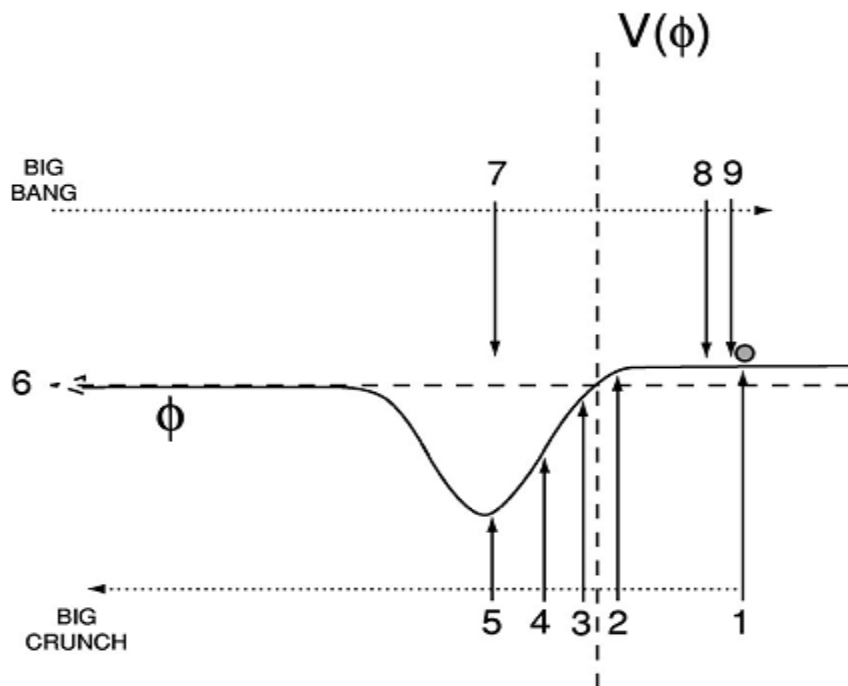
One of the most fundamental questions related to theories of quantum gravity

Borde and Vilenkin, PRL72:3305, 1994

# Ekpyrotic model

The collision of two M branes in 5D gives rise to a nonsingular cyclic universe, and the description of EFT in 4D is

$$S = \int d^4x \sqrt{-g} \left( \frac{1}{16\pi G} \mathcal{R} + \frac{1}{2} (\partial\phi)^2 - V(\phi) + \beta^4(\phi)(\rho_M + \rho_R) \right)$$



- 1 DE domination
- 2 decelerated expansion
- 3 turnaround
- 4 ekpyrotic contracting phase
- 5 before big crunch
- 6 a singular bounce in 4D
- 7 after big bang
- 8 radiation domination
- 9 matter domination

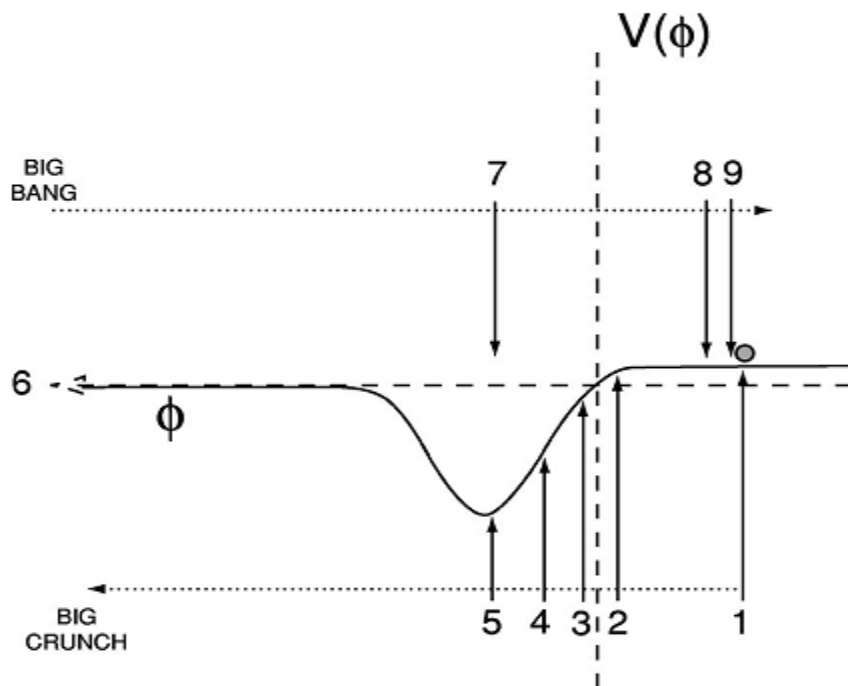
Khoury, Ovrut, Steinhardt & Turok,  
PRD64:123522, 2001



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- 1 DE domination
- 2 decelerated expansion
- 3 turnaround
- 4 ekpyrotic contracting phase
- 5 before big crunch
- 6 a **singular** bounce in 4D
- 7 after big bang
- 8 radiation domination
- 9 matter domination

Failure of effective field theory description, uncertainty involved in perturbations.

# Nonsingular Bounce

- Pre-big-bang  
(non-perturbative effects)
  - String gas cosmology  
(thermal non-local system)
  - Mirage cosmology  
(braneworld)
  - Loop quantum gravity  
(high-order corrections)
  - New Ekpyrotic model  
(ghost condensate)
- , and others ...